## **BAKER MCKENZIE HAS ADVISED UBS**

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Category: Capital Markets

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Baker McKenzie has advised UBS on the establishment of its Swiss Structured Securities and Notes Issuance Programme. The Programme is one of the first structured securities issuance programmes that has been prepared in compliance with the new prospectus regime, established by the Swiss Financial Services Act (the **FinSA**), and approved by and registered with SIX Exchange Regulation Ltd (**SIX**) in its capacity as review body under the FinSA. The Programme was approved by SIX on 6 October 2020.

The Programme covers all structures cross asset that are currently issued in Switzerland by UBS AG. All issuances under the Programme may be publicly offered and/or admitted to trading on a trading venue in Switzerland in compliance with the regulatory framework established by the FinSA.

## The team

Baker McKenzie acted as sole legal adviser to UBS and provided comprehensive legal and regulatory advice. The team was led by Philip Spoerlé (Senior Associate, Capital Markets and Banking & Finance - pictured right) and Ansgar Schott (Partner, Regulatory - pictured left) and further comprised Jan Röthlisberger (Associate, Banking & Finance), Charlene McAuley (Associate, Finance & Projects), Manuel Hirlinger (Junior Associate, Banking & Finance) and Lukas Innerebner (Junior Associate, Capital Markets).